

# The Effects of Essential Oil Massage on Patients Affected by Alzheimer's Disease: An Observational Study

Antonio Bonacaro<sup>1\*</sup>, Ivan Rubbi<sup>2</sup>, Carmela Triglia<sup>3</sup>, Valeria Cremonini<sup>2</sup>, Alessia Conti<sup>4</sup>, Sharon Brownie<sup>5</sup>

<sup>1</sup>Department of Nursing, University of Suffolk, Ipswich IP4 1QJ, United Kingdom

<sup>2</sup>School of Nursing, University of Bologna, 40126 Bologna BO, Italy

<sup>3</sup>Orthopedics Ward, Ferrara Hospital, 44122 - Ferrara, Italy

<sup>4</sup>Villa Maria Private Hospital, 48033 Cotignola RA, Italy

<sup>5</sup>School of Health Sciences, Swinburne University of Technology, Hawthorn, VIC 3122, Australia;

School of Nursing, Griffith University, Nathan QLD 4111, Australia

\*Corresponding Author's Email: a.bonacaro@uos.ac.uk

## ABSTRACT

**Background:** Alzheimer's Disease (AD) is the most widespread form of dementia. The present study analyses the effects of aromatherapy using *Melissa Officinalis* essential oil, applied by massage to the hands and forearms of patients suffering from Alzheimer's disease. and to evaluate the effect on their perceived overall quality of life. **Methods:** A prospective observational study was conducted in two nursing homes in Italy in 2018. Data were collected through individual qualitative structured interviews based on the Quality of Life in Alzheimer's Disease (QoL-AD) questionnaire and the Mini Mental State Examination (MMSE). The authors referred to STROBE guidelines for quality reporting of observational studies. **Results:** Treatment with *Melissa Officinalis* showed positive effects on all patients after the 12<sup>th</sup> week of treatment. The results were most evident in patients in class 3 MMSE. However, class 4 MMSE patients also benefited from the treatment. **Conclusion:** The aromatherapy treatment delivered in this observational study, using *Melissa Officinalis* essential oil applied to hands and forearms, showed an improvement in the quality of life of the patients living in two different nursing homes. Due to the small sample size in this study, it is suggested that more research be done on the effects and effectiveness of aromatherapy with *Melissa Officinalis* essential oil for Alzheimer's disease patients.

**Keywords:** *Quality Adjusted Life Years; Mental Status and Dementia Test; Alzheimer Disease; Aromatherapy; Essential Oil*

## INTRODUCTION

According to the American Academy of Neurology, Alzheimer's Disease (AD) represents one of the most severely disabling diseases occurring in advanced age. AD is characterised by a progressive decline in cognitive functions such as memory, language, and learning capacity (Zhang *et al.*, 2019; Lim *et al.*, 2021). In addition to cognitive impairment, behavioural and psychological disorders (BPSD) such as agitation and wandering may also occur with negative repercussions on daily life activities (Steele *et al.*, 1990; Kertapati *et al.*, 2022).

In 2015, 47 million people lived with varying forms of dementia, and this figure is expected to triple by 2050 (Prince *et al.*, 2016). There are 9.9 million new cases of dementia each year, meaning a new case every 3.2 seconds (Wimo *et al.*, 2017). This phenomenon will require considerable health and economic effort since dementia has very high social and economic costs, estimated at 818 billion dollars in 2015 (Wimo *et al.*, 2017). In Italy, dementia and Alzheimer's disease are also on the rise constituting the sixth leading cause of death in 2012 with 26,559 deaths (Istat, 2022). The new cases of dementia in Italy are about 150,000 a year, a total of about 2 million people across the country, with over 60,000 in the Emilia Romagna region (Iperbole, 2022).

Currently, there is no approved drug that specifically treats Alzheimer's disease, while there is increasing use of

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alternative remedies such as aromatherapy with massage (Yang *et al.*, 2016). According to Snow and colleagues, inhalation only may have no effect on people with Alzheimer's disease because, in advanced stages, people may lose their sense of smell. Therefore, application on the skin is more effective than simply diffusing the essential oil (Snow *et al.*, 2004; Nascimento *et al.*, 2022). Aromatherapy combined with a massage can reinforce the natural therapeutic properties of essential oils and promote the healing power of massage (Clarke, 2008; Butje, 2017).

In the treatment of behavioural and psychological disorders, complementary and alternative medicines (CAM) are increasing interest. The National Centre for Complementary and Alternative Medicine defines CAM as a group of different medical and nursing practises and products that are not currently considered to be part of contemporary medical care (Tabish, 2008).

In its Traditional Medicine Strategy 2002–2005 and 2014–2023, the WHO refers to the considerable increase in the use of non-conventional medicine in many countries around the world (WHO, 2013). CAM includes acupuncture, physiotherapy, homoeopathy, reflexology, and aromatherapy (Van Der Watt *et al.*, 2008; Y Ng *et al.*, 2020). Aromatherapy is the use of pure essential oils obtained from various parts of plants, including flowers, roots, or leaves, to help improve mental and physical health, the quality of life in general, or just for pleasure (Maggio *et al.*, 2016). Controlled and therapeutic use of essential oils can achieve measurable and specific outcomes (Buckle, 2016).

According to Snow *et al.* (Snow *et al.*, 2004; Watson *et al.*, 2019), the mere inhalation of oils through the olfactory system may have no effect on people with Alzheimer's disease because, in advanced stages, affected people may lose their sense of smell, while the application of oils on the skin appears to be effective. Applying massage allows the essential oils to also be absorbed into circulation through the skin. Therefore, the effect of the oils occurs mainly through nerve conduction. The peripheral nerves first, then the somatic nerves, and lastly the autonomic nerves are stimulated by the essential oils. Stimulation of the somatic nerves can induce the relaxation of skeletal muscles, and stimulation of the sympathetic and parasympathetic nervous systems can influence blood vessels, internal organs, and glands (Cook & Lynch, 2008; Nascimento *et al.*, 2022).

A convenience sample of 20 patients suffering from Alzheimer's disease was recruited with the aim of assessing their perceived general quality of life.

## **METHODOLOGY**

The aim of this study is to analyze the effects of 31 sessions of aromatherapy using *Melissa Officinalis* essential oil, applied to patients' hands and forearms through massage, and to evaluate the effect on their perceived overall quality of life.

### **Design and Setting**

A 15-week observational study was conducted in two nursing homes in a northern Italian region during the months of May, June, July, and August 2018. This manuscript is written in accordance with the STROBE guidelines for quality reporting of observational studies (von Elm *et al.*, 2007).

### **Study Population**

A convenience sample of 20 patients suffering from Alzheimer's disease was recruited. The Quality of Life-AD (QoL-AD) (Yuliastuti *et al.*, 2022) and Mini-Mental State Examination (MMSE) questionnaires were used to assess the functioning of their global cognitive state (Logsdon *et al.*, 2002). The study involved a non-probabilistic sample of 20 people affected by Alzheimer's, residing in two different nursing homes, who were given the QoL-AD questionnaire after it was explained to them what items were on the questionnaire and how to answer them. Only patients who participated in more than 85% of the aromatherapy sessions were included. These patients agreed voluntarily to take part in the survey, following an explanation regarding the aromatherapy sessions. Prior to the beginning of the study, patients were evaluated by the physician working in the nursing home and through the MMSE evaluation scale, which was first published in 1975 by Folstein *et al.*, in the Journal of Psychiatric Research (Folstein,

1975).

25 people participated in the study, of whom 5 (20%) were excluded because they did not attend several sessions (> 85%). The personal data of the 20 people included in the research are 30% (n = 6) males and 70% (n = 14) females, with an average age of 83 (7.5 SD). The sample was made up of 45% (n = 9) widowed, 35% (n = 7) married, 15% (n = 3) unmarried, and 5% (n = 1) separated people. Among the patients studied, 40% (n = 8) have an elementary school diploma, 25% (n = 5) have no education, 20% (n = 4) have a high school diploma, and 15% (n = 3) have a junior high school diploma. Patients with a class 4 MMSE are the most representative, with a percentage of 80% (n = 16), followed by those with a class 3 and a class 2 with 10% (n = 2) (Table 1).

**Table 1: Sample Demographic Characteristics**

Characteristics	n(%)
	n = 20
<b>Gender</b>	
Male	6(30)
Famale	14(70)
<b>Marital Status</b>	
Widowers	9(45)
Married	7(35)
Unmarried	3(15)
Separated	1(5)
<b>Education</b>	
Primary school	8(40)
Illiterate	5(25)
High school	3(15)
<b>MMSE class</b>	
Class 4	16(80)
Class 2-3	4(20)
	M±SD
<b>Sample age</b>	83.0±7.50

### Data Collection

Data collection occurred through a quality-of-life assessment tool called the Quality of Life – AD, which assessed the perceptions of patients affected by Alzheimer's (Cooke *et al.*, 2010; Logsdon *et al.*, 1999; Rubbi *et al.*, 2016).

The questionnaire was administered in the form of an interview with the patient himself or herself. This tool investigates the participant's relationships, possible concerns for their finances, physical condition, mood, and a general consideration of their perceived quality of life. The interview took about 5–10 minutes, and it consisted of asking each question and recalling the possible answers (poor, discreet, good, excellent). The questionnaire consisted of 13 items evaluated on a scale from 1 to 4, where 1 corresponds to "poor," 2 to "discrete" 3 to "good," and 4 to "excellent." Therefore, the total scores vary from 13 to 52.

Researchers explained the study in full to the manager of the nursing homes including the duration of the study and provided information about the time involved in consenting patients' involvement which included regular meetings

twice a week. The appointments were always kept on the scheduled day and time to ensure consistency for participating patients. During the meetings, patients received a hand and wrist massage using *Melissa Officinalis* essential oil mixed with almond oil used as a base for a duration of 5–10 minutes. While 10 minutes were considered the gold standard in line with the study findings of researchers at the University of Konstanz (Meier *et al.*, 2020), we hypothesised that a minimum duration of 5 minutes would be equally effective and suit the needs of busy caregivers or clinical environments. During this time, the patient was encouraged to talk with the person giving the massage. At the end of each session, the participants were asked verbally the questions on the QoL-AD questionnaire. Their privacy was respected, and the person who was filling in the questionnaires carried out this task using the first person.

The first meeting took place in nursing home 1 on the 12th of May 2018, and the second in nursing home 2 on the 11th of May 2018 (time 0). On these days, it was explained to the patients how the meetings would be conducted, the duration of each individual massage, and the subsequent administration of the questionnaires that investigate the quality of life perceived by each participant. During the first meeting, the QoL-AD was administered with the purpose of creating a time 0 and creating starting values. On this occasion and in any subsequent questionnaire administration, patients were provided with further clarifications when they struggled to understand the meaning of any question. Furthermore, a caregiver was asked to participate in the treatment session with any class 4 MMSE patient. The presence of a caregiver ensured more accurate data collection in patients who struggled to verbally express themselves (Sustrami *et al.*, 2022).

### **Ethical Consideration**

The study was authorized by the nursing home ethics committee on the 12<sup>th</sup> of February 2018 (Reference number 2018/ResCam/17).

### **Bias**

Bias minimization was pursued by putting in place the following strategies: all patients who volunteered to take part in the study were assessed by a physician and through the MMSE assessment scale. The physician's assessment included patients' comorbidities and the effects of polypharmacy. In addition to creating a safer clinical environment for both researchers and patients, that allowed the recruitment of clinically homogeneous participants.

Furthermore, the masseuse responsible to deliver the intervention was not aware of the study hypothesis.

## **RESULTS**

### **Data Storage and Analysis**

For the data obtained through questionnaire administration, a database was created in Excel in which the dependent variables were inserted (physical health, vitality, mood, life condition, memory, family situation, marital status, friends, himself, ability to perform housework, ability to perform fun activities, financial situation, and life as a whole). Items listed in the assessment tool and the independent variables for analysis were:

Structure in which the patient was a guest, Age class, Marital status, The presence of a support administrator. The degree of cognitive impairment at the Mini-Mental State Examination (MMSE). 698 surveys were carried out across 32 sessions. Overall, each patient answered an average of 31.40 surveys ( $\pm 1.05$  SD).

The determination of Cronbach's Alpha shows a good coherent attitude ( $\alpha = 0.855$ ) (Nunnally, 1994) on all the items of the Quality of Life-AD. The test of equality of means, obtained through the sum of the Likert scale expressed by the patients on all the items of the instrument ( $R = 13-52$ ), showed a difference in the quality of life perceived by the patients during the aromatherapy sessions ( $P=0.0001$ ). The detection at time 0 ( $M = 21.90$ ,  $DS = 4.973$ ) before starting the experiment, shows a progressive improvement of the average value of the Quality of Life-AD during the 31 sessions, leading to an  $M = 28.94$  ( $SD = 4.249$ ) on the 31st session (Table 2).

**Table 2: Differences between the Perceived Quality of Life During the Aromatherapy Sessions**

Sessions (Time 0)	N. Participants	QoL-AD scores		I.C. 95% (Lower±Upper)	F	P
		(mean±SD)	Standard Error			
	20	21.9±4.97	1.11	19.57±24.23	4.818	<0.001**
1	17	22.47±4.34	1.05	20.24±24.71		
2	17	21.94±4.32	1.04	19.72±24.16		
3	18	22.94±4.89	1.15	20.51±25.38		
4	19	23.11±5.16	1.18	20.62±25.59		
5	18	25.33±4.72	1.11	22.98±27.68		
6	19	26±4.34	0.99	23.91±28.09		
7	20	25.9±4.45	0.99	23.81±27.99		
8	20	26.95±4.50	1.00	24.84±29.06		
9	20	27.05±4.69	1.05	24.85±29.25		
10	20	26.95±4.68	1.04	24.76±29.14		
11	20	26.95±4.68	1.04	24.76±29.14		
12	20	27.87±4.35	0.97	25.81±29.89		
13	20	27.85±4.35	0.97	25.81±29.89		
14	20	27.9±4.36	0.97	25.86±29.94		
15	20	27.9±4.36	0.97	25.86±29.94		
16	20	27.9±4.36	0.97	25.86±29.94		
17	20	27.9±4.36	0.97	25.86±29.94		
18	20	27.95±4.41	0.98	25.88±30.02		
19	20	27.9±4.36	0.97	25.86±29.94		
20	20	28.8±4.15	0.92	26.86±30.74		
21	20	28.8±4.15	0.92	26.86±30.74		
22	20	28.8±4.15	0.92	26.86±30.74		
23	20	28.8±4.15	0.92	26.86±30.74		
24	20	28.8±4.15	0.92	26.86±30.74		
25	20	28.8±4.15	0.92	26.86±30.74		
26	20	28.8±4.15	0.92	26.86±30.74		
27	20	28.8±4.15	0.92	26.86±30.74		
28	20	28.8±4.15	0.92	26.86±30.74		
29	20	28.8±4.15	0.92	26.86±30.74		
30	20	28.95±4.24	0.95	26.86±30.94		
31	20	28.95±4.24	0.95	26.96±30.94		
<b>Total</b>	<b>628</b>	<b>27.15±4.8</b>	<b>0.19</b>	<b>26.78±27.53</b>		

\* $P < 0.05$ , \*\* $P < 0.01$

Multiple comparisons through Tukey's HSD show that results are noticeable after at least 12 sessions. The difference between the detection at time 0 and the 12<sup>th</sup> detection has an MD = -5.950 and a significance of  $P = 0.009$ .

Regarding the perceived quality of life compared to the MMSE, Table 3 shows a significant difference between the three MMSE classes, attributing a higher value to the third class with an  $M = 33.71 (\pm 2.452 \text{ SD}) (P < 0.001)$ .

**Table 3: Results from the Aromatherapy Treatment Compared to the MMSE Class**

MMSE Class	No. of Participant in all sessions	QoL-AD score (mean±SD)	Standard Error	I.C. 95% (Lower±Upper)	F	P
2	64	29.33±3.65	0.456	28.42±30.24	102.244	<0.001**
3	62	33.71±2.45	0.311	33.09±34.33		
4	502	26.07±4.39	0.196	25.68±26.45		
<b>Total</b>	<b>628</b>	<b>27.15±4.80</b>	<b>0.192</b>	<b>26.78±27.53</b>		

\*\* P = <0.01

The improvement of the quality of life through aromatherapy involved all subjects who took part in the study without distinction on cognitive deficits. In fact, in Table 4 all the subjects in all the MMSE classes show significant differences when compared to each other (P = <0.0001). The highest average score can be seen in patients with class 3 MMSE compared with class 2 showing an MD = 4.382 and an MD = 7.644 with class 4.

**Table 4: Multiple Comparisons among MMSE Classes and the QoL-AD**

MMSE Class		MD	Standard Error	P
(I)	(J)	(I-J)		
2	3	-4.382	0.744	<0.001**
	4	3.262	0.554	<0.001**
3	2	4.382	0.744	<0.001**
	4	7.644	0.562	<0.001**
4	2	-3.262	0.554	<0.001**
	3	-7.644	0.562	<0.001**

\*\* P = <0.01

Focussing the study on patients with class 4 MMSE (Table 4) showed a significant difference compared to T0 (P = <0.0001) with a progressive improvement up to a mean value of 28.06 (±3.958 SD) in the 31<sup>st</sup> session.

Multiple comparisons through HSD indicated that patients with a class 4 MMSE treated with aromatherapy, in the 12<sup>th</sup> session started to benefit from the treatment. Even for these patients, between T0 and the 12<sup>th</sup> session, an MD is recorded = -6.250 (P = <0.001).

It was noted that many patient responses to the QoL-AD questionnaire were significantly statistically correlated (Spearman rank correlation coefficient, p = <0.001), as shown in Table 5.

Quality of Life: AD (Interview Version for the Person Affected by Dementia)	
<b>Items</b>	Items statistically significantly correlated (Spearman's rank correlation coefficient) p=0.000
<b>Hysical Health</b>	Energy (ρ=0.525), Living situation (ρ=0.519), Memory (ρ=0.543), Ability to do chores around the house (ρ=0.500), Ability to do things for fun (ρ=0.547)
<b>Energy</b>	Physical health (ρ=0.525), Mood (ρ=0.850), Living situation (ρ=0.599), Ability to do chores around the house (ρ=0.503), Ability to do things for fun (ρ=0.535)
<b>Mood</b>	Energy (ρ=0.850), Living situation (ρ=0.625), Ability to do things for fun (ρ=0.538)

<b>Living situation</b>	Physical health ( $\rho=0.519$ ), Energy ( $\rho=0.599$ ), Mood ( $\rho=0.625$ ), Friends ( $\rho=0.500$ ), Ability to do chores around the house ( $\rho=0.673$ ), Ability to do things for fun ( $\rho=0.695$ ), Life as a whole ( $\rho=0.556$ )
<b>Memory</b>	Physical health ( $\rho=0.543$ ), Self as a whole ( $\rho=0.684$ ), Ability to do things for fun ( $\rho=0.566$ )
<b>Family</b>	No statistically significant correlation
<b>Marriage</b>	No statistically significant correlation
<b>Friends</b>	Living situation ( $\rho=0.500$ ), Ability to do things for fun ( $\rho=0.536$ )
<b>Self as a whole</b>	Memory ( $\rho=0.684$ )
<b>Ability to do chores around the house</b>	Physical health ( $\rho=0.500$ ), Energy ( $\rho=0.503$ ), Living situation ( $\rho=0.673$ ), Friends ( $\rho=0.536$ ), Ability to do things for fun ( $\rho=0.815$ ), Life as a whole ( $\rho=0.548$ )
<b>Ability to do things for fun</b>	Physical health ( $\rho=0.547$ ), Energy ( $\rho=0.535$ ), Mood ( $\rho=0.538$ ), Living situation ( $\rho=0.695$ ), Memory ( $\rho=0.566$ ), Ability to do chores around the house ( $\rho=0.815$ ), Life as a whole ( $\rho=0.572$ )
<b>Money</b>	No statistically significant correlation
<b>Life as a whole</b>	Living situation ( $\rho=0.556$ ), Ability to do things for fun ( $\rho=0.572$ )

## DISCUSSION

This study investigated aromatherapy; a complementary medicine technique that is becoming widespread. Aromatherapy was first employed for oncological uses (Wilkinson *et al.*, 2007). This technique has now been used in the field of dementias and cognitive deficits such as Alzheimer's disease through the topical application of *Melissa Officinalis* essential oil (Akhondzadeh *et al.*, 2003).

The results of the study show that the participants undergoing the aromatherapy treatment with *Melissa Officinalis* oil expressed a better perception of the quality of life compared to the information collected before the beginning of the study. There was an improvement in the perception of quality of life in all patients who joined the study. While the improvements are not immediately visible, it was noted that from the 12<sup>th</sup> session a progressive improvement was observed in the scores collected through the QoL-AD questionnaire. Overall, the different degrees of severity of MMSE did not affect the results of aromatherapy treatment. The study shows that all patients treated with aromatherapy, regardless of the degree of MMSE severity, have benefited from the application of the essential oil applied through massages. There was an improvement in the quality of life, especially in patients falling in class 3 of the MMSE but also patients in class 4 of the MMSE benefited from treatment with *Melissa Officinalis*.

If the results obtained from this study are compared to scientific evidence already available in the current literature, the use of *Melissa Officinalis* essential oil applied through a massage has a positive effect both on the agitation levels (Wilkinson *et al.*, 2007; Li *et al.*, 2022) and above all on the levels of the quality of life, as shown in various studies in patients affected by moderate to severe Alzheimer's. The results obtained from this experiment are, therefore, in line with the study by Ballard *et al.*, carried out in 2002 (Ballard *et al.*, 2002; Watson *et al.*, 2019) and with the study by Burns *et al.*, carried out in 2011 (Burns *et al.*, 2011). The positive results reported by patients arise from the use of *Melissa Officinalis* essential oil, which is inhaled and absorbed through the skin (Snow *et al.*, 2004; Watson *et al.*, 2019) and by its association with the massage which promotes a state of relaxation and a consequent improvement in patients' quality of life. This was recorded at the end of each session when patients expressed their state of well-being through the answers given in the questionnaire and verbally by praising the masseur and the lovely smell of *Melissa* that was diffused in the environment.

### **Limitation**

Sample size limits the generalizability and interpretation of results. Another limitation includes the small number of studies already present in current literature with a focus on aromatherapy using *Melissa Officinalis* essential oil and massage. Furthermore, the use of essential oil with a smell might not have appealed to all patients who participated in the study.

Given the small sample size and a low number of existing experimental studies on this topic in the current literature, further studies involving the use of *Melissa Officinalis* essential oil and massage for patients suffering from Alzheimer's disease should be conducted.

### **CONCLUSION**

The results of this study show that aromatherapy using *Melissa Officinalis* essential oil applied to hands and forearms with massage leads to an improvement in the quality of life perceived by patients affected by Alzheimer's disease. Positive results were also found in patients whose scores fell in class 4 MMSE and class 3, in which the greatest improvement was recorded. The level of dementia-related impairment may also limit the reliability of patient-reported effects. There is a gap in the currently available literature on treatments using aromas and essential oils and, therefore, it is recommended that more studies will be conducted in this field.

### **Conflict of Interest**

The authors declare that they have no conflict of interests.

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Authors confirm that the present research study is unfunded and that they have no conflict of interest to report.

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